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No. 0993 P. 1

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BRIAN M. DUGAN, PH.D.

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**FACSIMILE COVER SHEET**

Date: January 17, 2007

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Examiner: James Bidwell Phone No.: (571) 272-6910  
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From: Brian M. Dugan

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Our File No.: Docket No. 6900/Y01/SYNX/SYNX/HMM

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Michael Robert Rice, et al.  
Serial No. : 10/650,310  
Filed : August 28, 2003  
For : SYSTEM FOR TRANSPORTING SUBSTRATE  
CARRIERS  
Examiner : James Bidwell  
Group Art Unit : 3651

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**TOTAL NUMBER OF PAGES INCLUDING THIS PAGE: 7**

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Re: Inventor(s): Michael Robert Rice, Jeffrey C. Hudgens, Eric A. Englhardt, Robert B. Lowrance and Martin R. Elliott  
Title: SYSTEM FOR TRANSPORTING SUBSTRATE CARRIERS  
Serial No.: 10/650,310  
Filed: August 28, 2003  
Examiner: James R. Bidwell  
Group Art Unit: 3651

Transmitted herewith is:

- ☒ PTO Form 1449; and  
☒ Information Disclosure Statement with ten cited references.

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$50.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$200.00	\$0.00
Basic Filing Fee (\$300.00), Utility Search Fee (\$500.00), Utility Examination Fee (\$200.00)				\$1000.00	\$0.00
TOTAL FEES					\$0.00

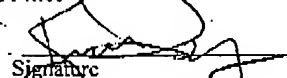
— The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:  
Customer # 41161  
Dugan & Dugan, PC  
35 South Broadway  
Tarrytown, NY 10591

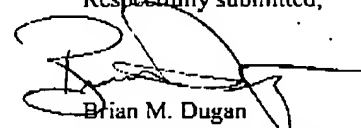
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Signature  
January 17, 2007  
Date

Brian M. Dugan  
(name of person certifying)

Respectfully submitted,

  
Brian M. Dugan  
Registration No. 411720  
(914) 332-9081

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PATENTS  
6900/Y01/SYNX/SYNX/HMM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael Robert Rice et al.  
Serial No. : 10/650,310  
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Group Art Unit : 3651  
Customer No. : 41161  
Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. Patent No. 6,579,052 B1, Bonora et al.

Certificate of Mailing/Transmission (37 C.F.R. Section 1.8(a))

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1/17/07  
Date

Brian M. Dugan  
(name of person certifying)

U.S. Patent No. 5,980,183, Fosnight

U.S. Patent No. 4,805,759, Rochet et al.

U.S. Patent No. 5,332,013, Sugita et al.

U.S. Patent No. 5,570,990, Bonora et al.

U.S. Patent No. 6,524,463, Gramarossa et al.

U.S. Patent No. 5,668,056, Wu et al.

U.S. Patent Application Publication No. 2004/0076496,  
Elliott et al.

U.S. Patent Application Publication No. 2005/0273191,  
Englhardt et al.

U.S. Patent Application Publication No. 2004/0191030,  
Rice et al.

U.S. Patent No. 6,440,178, Berner et al.


U.S. Patent No. 6,083,566, Whitesell

Please charge deposit account No. 04-1696 in the  
amount of \$180.00 for consideration of this information  
disclosure statement under 37 C.F.R. § 1.97(c)(2).

These references are also listed on the accompanying  
Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully Submitted,

  
Brian M. Dugan, Esq.  
Registration No. 41,720  
Dugan & Dugan, PC  
Attorneys for Applicants  
(914) 332-9081

Dated: 1/17/07  
Tarrytown, New York

Sheet 1 of 2 sheets

U.S. Department of Commerce, Patent and Trademark Office  <b>LIST OF RELEVANT ART CITED BY APPLICANT</b> (Use several sheets if necessary)				Docket No.: 6900/Y01/SYNX/SYNX/HMM		Serial No.: 10/650,310	
				Applicants: Michael Robert Rice et al.			
				Filing Date: August 28, 2003		Group: 3651	

U.S. Patent Documents							
*Examiner Initial	Document Number	Issued Date	Name	Class	Subclass	Filing Date If Appropriate	
	US-1	6,579,052 B1	Bonora et al.				
	US-2	5,980,183	Fosnight				
	US-3	4,805,759	Rochet et al.				
	US-4	5,332,013	Sugita et al.				
	US-5	5,570,990	Bonora et al.				
	US-6	6,524,463	Gramarossa et al.				
	US-7	5,668,056	Wu et al.				
	US-8	2004/0076496	Elliott et al.				
	US-9	2005/0273191	Englhardt et al.				
	US-10	2004/0191030	Rice et al.				
	US-11	6,440,178	Berner et al.				

Foreign Patent Documents							Translation	
	Document Number	Date	Country	Class	Subclass	Yes	No	
	F-1							
	F-2							
	F-3							
	F-4							
	F-5							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
	OT-1
	OT-2
	OT-3

Examiner	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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U.S. Department of Commerce, Patent and Trademark Office  LIST OF RELEVANT ART CITED BY APPLICANT (Use several sheets if necessary)	Docket No.: 6900/Y01/SYNX/SYNX/HMM	Serial No.: 10/650,310
	Applicants: Michael Robert Rice et al.	
	Filing Date: August 28, 2003	Group: 3651

## U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	US-12	6,083,566	07-2000	Whitesell			
	US-13						
	US-14						
	US-15						
	US-16						
	US-17						
	US-18						
	US-19						
	US-20						
	US-21						
	US-22						

## Foreign Patent Documents

## Translation

		Document Number	Date	Country	Class	Subclass	Yes	No
	F-6							
	F-7							
	F-8							
	F-9							
	F-10							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	OT-4	
	OT-5	
	OT-6	

Examiner	Date Considered
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